

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

"Express Mail" Mailing Label No. EL7575-2776US

Date of Deposit: August 20, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Services with sufficient postage as "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231

Cindy Baglietto

(Typed or Printed Name of Person Mailing Paper or Fee)

(Signature of Person Mailing Paper or Fee)

**PATENT**

**Attorney Docket No. 26391-702**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application

PATENT APPLICATION

Inventor(s): Jiwei R. Wang

Art Unit: Not Yet Assigned

Application No.: Not Yet Assigned

Examiner: Not Yet Assigned

Filed: Herewith

Title **Method and Apparatus for Providing Service,  
Selection, Policing, Redirection and Managing  
Subscriber Access to Multiple WAP (Wireless  
Application Protocol) Gateways Simultaneously  
in a Wireless Data Network**



**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

☒ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- ☒ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage  
-- OR --
- ☐ (3) It is being filed before the mail date of the first Office Action on the merits  
-- OR --
- ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

☐ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:

☐ a certification as specified in §1.97(e) is provided below; or

☐ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:

A. a certification as specified in §1.97(e) is completed below; and

B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and

C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☒ **Fee Authorization.** The Commissioner is hereby authorized to charge the above-referenced fees of \$ 0 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 23-2415 (Docket No. 26391-702).

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI

Dated:

August 20, 2001

By:

David J. Weitz

David J. Weitz, Reg. No. 38,162

650 Page Mill Road  
Palo Alto, CA 94304-1050  
(650) 493-9300  
Customer No. 021971

<b>INFORMATION DISCLOSURE CITATION</b>  <b>PTO-1449</b>		ATTY. DOCKET NO. 26391-702		SERIAL NO. Not Yet Assigned			
		APPLICANT Jiwei R. Wang					
		FILING DATE Herewith		GROUP: Not Yet Assigned			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	FILING DATE		
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
		"Wireless Application Protocol (WAP) Specification"; version 1.2.1, June 2000, WAP Forum; <a href="http://www.wapforum.com/what/technical.htm">http://www.wapforum.com/what/technical.htm</a> ; pgs. 1-5					
		"WAP Wireless Session Protocol Specification", version 4-May-2000; Wireless Application Protocol Forum Ltd. 2000; pp. 63-70					
		"WAP Wireless Transaction Protocol Specification", version 19-February-2000, Wireless Application Protocol Forum 2000; pp. 22-66					
		"WAP Wireless Datagram Protocol Specification"; version 19-February-2000; Wireless Application Protocol Forum Ltd. 2000; pp. 23-50					
		"WAP Architecture Specification", version 30-April-1998; Wireless Application Protocol Forum, Ltd.; 1998					
		"WAP Wireless Transport Layer Security Specification", version 18-February-2000; Wireless Application Forum, Ltd. 2000					
		J. Postel; "User Datagram Protocol"; IETF RFC-768; 28-August-1980; <a href="http://ftp.isi.edu/in-notes/rfc768.txt">http://ftp.isi.edu/in-notes/rfc768.txt</a> ; pp. 1-3					
		"Internet Protocol"; IETF RFC-791; September 1981; <a href="http://ftp.isi.edu/in-notes/rfc791.txt">http://ftp.isi.edu/in-notes/rfc791.txt</a> ; pp. 1-46					
		R. Fielding, et al. "Hypertext Transfer Protocol-HTTP/1.1"; IETF RFC-2068; The Internet Society 1999.					
		"Open Service Architecture, Application Programming Interface-Part 1 (Release 1999); 3GPP TS 29.198 v 3.2.0, 3 <sup>rd</sup> Generation Partnership Project; December 2000.					
		"WAP Architecture Specification"; version 17-October-2000; Wireless Application Protocol Forum, Ltd., 2000-2001					
		"WAP TLS Profile and Tunneling Specification", prototype 11-April-2001; Wireless Application Protocol Forum, Ltd. 2001					
EXAMINER							

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b>  <b>PTO-1449</b>		ATTY. DOCKET NO. 26391-702		SERIAL NO. Not Yet Assigned			
		APPLICANT      Jiwei R. Wang					
		FILING DATE   Herewith		GROUP: Not Yet Assigned			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	"Exalink Licenses Certicom's WTLS Plus & SSL Plus to Enable Secure WAP Gateway for M-Commerce Solutions"; <a href="http://www.certicom.com/about/pr/00/000509-1.html">http://www.certicom.com/about/pr/00/000509-1.html</a> ; pp. 1-3						
	"Exalink to WAP-ify Comverse Backbone for \$480 M"; <a href="http://www.ucalert.com/2000_issues/0700-04.html">http://www.ucalert.com/2000_issues/0700-04.html</a> ; pp. 3-4						
EXAMINER							

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.